**Cost Accounting Standards Notices and Certification**

Supplier Name:

GA-ASI Supplier Number:

Offeror must examine each Section herein, and, where necessary, provide the requested information and return the duly signed certification to General Atomics Aeronautical (GA-ASI). The information provided in this certification determines the Cost Accounting Standards (CAS) applicable to any resultant subcontract. CAS shall apply to any negotiated subcontract in excess of the applicability threshold at 48 CFR 9901.306 (the same as the Truth In Negotiation Act (TINA) threshold), unless otherwise exempt from CAS as specified in 48 CFR 9903.201-1(b).

**Section A: Certificate of Full Exemption from CAS Coverage**

**Offeror:**

If your proposal exceeds the applicable threshold, but you claim a full exemption from all CAS rules and regulations, check the appropriate exemption(s) below and sign the last page to certify.

[ ]  **Exemption Claimed for Small Business**

The offeror claims exemption from CAS requirements under the provisions of 48 CFR 9903.201-1(b) (3), and certifies that it meets the criteria for being an eligible small business concern as prescribes by the Small Business Administration (13 CFR Part 121).

[ ]  **Exemption Claimed by a Foreign Government, its Entity, or Instrumentality**

The offeror claims exemption from CAS requirements under the provisions of 48 CFR 9903.201-1(b) (4), and certifies itself as a foreign government, or as an agent or instrumentality of a foreign government.

[ ]  **Exemption Claimed for Prices Set by Law or Regulation**

The offeror claims exemption from CAS requirements under the provisions of 48 CFR 9903.201-1(b) (5), and certifies the prices quoted have been set by law or regulation. The offeror agrees to support this claim by completing and submitting the appropriate supporting documentation.

[ ]  **Exemption Claimed for Commercial Item Acquisition**

The offeror claims exemption from CAS requirements under the provisions of 48 CFR 9903.201-1(b) (6), and certifies that the prices quoted are for commercial items as defined in FAR 2.101. The offeror agrees to support this claim by completing and submitting the appropriate supporting documentation, as requested by GA-ASI.

[ ]  **Exemption Claimed for Contracts/Subcontracts of less than $7.5 million**

The offeror claims exemption from CAS requirements under the provisions of 48 CFR 9903.201-1(b) (7), subcontract of less than $7.5 million, provided that, at the time of award, the offeror’s business unit is not currently performing any CAS-covered contracts or subcontracts valued at $7.5 million or greater.

[ ]  **Exemption Claimed for NATO PHM Ship Program**

The offeror claims exemption from CAS requirements under the provisions of 48 CFR 9903.201-1(b) (13), subcontract under the NATO PHM Ship program to be performed outside the United States by a foreign concern.

If an exemption is claimed above, CAS does not apply; please sign the bottom of this form and return to GA-ASI.

**Section B: Disclosure Statement – Cost Accounting Practices and Certification**

**Offeror:**

(a) Any subcontract over the applicable TINA threshold resulting from this solicitation will be subject to the requirements of the Cost Accounting Standards Board (48 CFR Chapter 99), except for those subcontracts which are exempt as specified in 48 CFR 9903.201-1.

(b) Any proposal you submit, if accepted, that results in a subcontract subject to the requirements of 48 CFR Chapter 99, will require you, as a condition of contracting, to submit a Disclosure Statement as required by 48 CFR, 9903.202. When required, the Disclosure Statement must be submitted as a part of your proposal under this solicitation unless you have already submitted a Disclosure Statement disclosing the practices used in connection with the pricing of this proposal. If an applicable Disclosure Statement has already been submitted, you may satisfy the requirement for submission by providing the information requested in paragraph (c) of this section, below.

CAUTION: In the absence of specific regulations or agreement, a practice disclosed in a Disclosure Statement shall not, by virtue of such disclosure, be deemed to be a proper, approved, or agreed-to practice for pricing proposals or accumulating and reporting contract performance cost data.

(c) Check the appropriate box and provide the requested information below:

[ ]  **(1)** **Certificate of Concurrent Submission of Disclosure Statement**

Offeror hereby certifies that, as a part of the proposal, copies of the Disclosure Statement have been submitted as follows: (a) original and one copy to the cognizant Administrative Contracting Officer (ACO) or Federal agency official authorized to act in that capacity, as applicable, and (b) one copy to the cognizant Federal auditor. (Disclosure must be on Form No. CASB DS-1 or CASB DS-2, as applicable. Forms may be obtained from the ACO or Federal official and/or from the loose-leaf version of the FAR.)

Date of Disclosure Statement:

Name of ACO or Federal official where filed:

Address of ACO or Federal official where filed:

Offeror further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the Disclosure Statement.

[ ]  **(2) Certificate of Previously Submitted Disclosure Statement**

Offeror hereby certifies that the Disclosure Statement was filed as follows:

Date of Disclosure Statement:

Name of ACO or Federal official where filed:

Address of ACO or Federal official where filed:

Offeror further certifies that the practices used in estimating costs in pricing this proposal are consistent with the cost accounting practices disclosed in the Disclosure Statement.

[ ]  **(3) Certificate of Monetary Exemption**

Offeror hereby certifies that, together with all divisions, subsidiaries, and affiliates under common control, did not receive net awards of negotiated prime contracts and subcontracts subject to CAS totaling $50 million or more in the cost accounting period immediately preceding the period in which this proposal was submitted. Offeror further certifies that if such status changes before an award resulting from this proposal, Offeror will advise GA-ASI immediately.

[ ]  **(4) Certificate of Interim Exemption**

Offeror hereby certifies that: (a) Offeror first exceeded the monetary exemption for disclosure, as defined in (c)(3) above, in the cost accounting period immediately preceding the period in which this offer was submitted; and (b) in accordance with 48 CFR 9903.202-1, Offeror is not yet required to submit a Disclosure Statement. Offeror further certifies that if an award resulting from this proposal has not been made within 90 days after the end of that period, Offeror will immediately submit a revised certificate to the Contracting Officer, in the form specified under subparagraph (c)(1) or (c)(2) above, as appropriate, to verify submission of a completed Disclosure Statement.

CAUTION: Offerors currently required to disclose because they were awarded a CAS-covered prime contract or subcontract of $50 million or more in the current cost accounting period may not claim this exemption ((c)(4)). Further, the exemption applies only in connection with proposals submitted before expiration of the 90-day period following the cost accounting period in which the monetary exemption was exceeded.

[ ]  **(5) Certificate of Disclosure Statement Due Date by Educational Institution**

Offeror hereby certifies it is an educational institution that, under the transition provisions of 48 CFR 9903.202-1(f), is or will be required to submit a Disclosure Statement after receipt of this award, and certifies that (check *one* and complete):

[ ]  A Disclosure Statement Filing Due Date of  has been established with the cognizant Federal agency.

[ ]  The Disclosure Statement will be submitted within the 6-month period ending  months after receipt of this award.

Name of ACO or Federal official where filed:

Address of ACO or Federal official where filed:

**Section C: Eligibility for Modified Cost Accounting Standards Coverage**

**Offeror:**

If your proposal exceeds the applicable CAS threshold, but you are eligible to use the modified provisions of 48 CFR 9903.201-2(b) and elect to do so, certify by checking the appropriate box(es) below.

Checking a box below shall mean that the resultant subcontract is subject to the specified FAR clause in lieu of the Cost Accounting Standards clause 52.230-2.

[ ]  **(1)** **Disclosure and Consistency of Accounting Practices** FAR 52.230-3

Offeror hereby claims an exemption from the Cost Accounting Standards clause under the provisions of 48 CFR 9903.201-2(b) and certifies that Offeror is eligible to use the Disclosure and Consistency of Cost Accounting Practices clause because during the cost accounting period immediately preceding the period in which this proposal was submitted, Offeror received less than $50 million in awards of CAS-covered prime contracts and subcontracts. Offeror further certifies that if such status changes before an award resulting from this proposal, Offeror will advise GA-ASI immediately.

CAUTION: Offeror may not claim the above eligibility for modified contract coverage if this proposal is expected to result in the award of a CAS-covered contract of $50 million or more; or if, during its current cost accounting period, Offeror has been awarded a single CAS-covered prime contract or subcontract of $50 million or more.

[ ]  **(2)** **Cost Accounting Standards – Educational Institution** FAR 52.230-5

Offeror hereby certifies it is an educational institution, and that a subcontract award resulting from this proposal will not be performed by a Federally Funded Research Development Center (FFRDC), per FAR 30.201-4(e)(1), reference 48 CFR 9903.201-2(c)(5) and (c)(6) (FAR Appendix).

[ ]  **(3)** **Disclosure and Consistency of Cost Accounting Practices – Foreign Concerns** FAR 52.230-4

Offeror hereby certifies its eligibility for CAS Coverage as a foreign concern.

**Section D: Additional Cost Accounting Standards Applicable to Existing Contracts**

**Offeror:**

Indicate below whether award of the contemplated subcontract would, in accordance with subparagraph (a)(3) of the Cost Accounting Standards clause (FAR 52.230-2), require a change in established cost accounting practices affecting existing contracts and subcontracts.

[ ]  Yes [ ]  No ***This section requires an answer unless Full CAS Exemption is claimed in Section A***

**Section E: Authorized Signature of Offeror**

Digital/Electronic signature must be digitally verifiable (created with a certificate that is unique to and indicates the person signing, and include a date stamp, such as Adobe certificate signature). Physical/wet signature, or a signed document that is scanned, is also accepted.

Authorized Signature  Date

Printed Name  Title